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PATENT

Attorney Docket No.: 2901US(203-3634PCTUS)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Chapman et al. Examiner: Not Assigned

Serial No.: 10/552,666 Group Art Unit: Not Assigned

Filed: October 4, 2005 Dated: July 17, 2006

For: ELECTROSURGICAL INSTRUMENT WHICH REDUCES  
THERMAL DAMAGE TO ADJACENT TISSUE

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to Applicant(s) duty of disclosure, the information listed in the attached form PTO-1449 is brought to the attention of the Examiner. It is respectfully submitted that copies of the references listed on page 1 are not required since this application was filed after June 30, 2003 pursuant to 51 C.F.R. 1.98. Copies of foreign publications listed on page 2 of form PTO-1449 are enclosed.

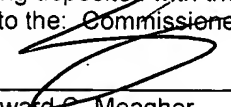
The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that the references are prior art. The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of these particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 17, 2006.

Dated: July 17, 2006

  
Edward C. Meagher

The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

- ☐ This information disclosure statement is being filed within three (3) months of the filing date of this application.
- ☐ This information disclosure statement is being filed within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application.
- ☒ To the best of Applicant(s) knowledge, this information disclosure statement is being filed before the date of mailing of a first Office Action on the merits in connection with this case.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114.
- ☐ Enclosed herewith is a certificate under 37 C.F.R. §1.97(e)(1).
- ☐ Enclosed herewith is a petition under 37 C.F.R. §1.97(d)(2).
  - ☐ Enclosed by check is the petition fee of \$130.00. (37 C.F.R. §1.17(i)(1))
  - ☐ Please charge the \$130.00 petition fee to Deposit Account No. 21-0550.
- ☐ Enclosed by check is the \$180.00 fee required by 37 C.F.R. §1.17(p).
- ☐ Please charge the \$180.00 fee required by 37 C.F.R. §1.17(p) to Deposit Account No. 21-0550.

[X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account **21-0550**. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. **21-0550** therefor.

The claims of the application as now presented are believed to patentably distinguish over the prior art and to be in condition for allowance. Early and favorable consideration of the case is respectfully requested.

Respectfully submitted,



Edward C. Meagher  
Reg. No. 41,189  
Attorney for Applicant(s)

***Carter, DeLuca, Farrell & Schmidt, LLP***

445 Broad Hollow Road  
Suite 225  
Melville, New York 11747  
Tel.: (631) 501-5700  
Fax: (631) 501-3526

ECM/gm



without references

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Form PTO-1449

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**

(Use several sheets if necessary)

Docket Number (Optional)

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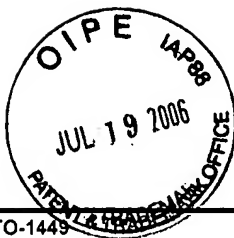
**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	4,041,952	8/16/77	MORRISON, JR. ET AL.			
	4,128,099	12/5/78	BAUER			
	5,084,057	1/28/92	GREEN ET AL.			
	5,196,009	3/23/93	KIRWAN, JR.			
	5,217,460	6/8/93	KNOEPFLER			
	5,368,600	11/29/94	FAILLA ET AL.			
	5,396,900	3/14/95	SLATER ET AL.			
	5,542,945	8/6/96	FRITZSCH			
	5,611,798	3/18/97	EGGERS			
	5,665,100	9/9/97	YOON			
	5,772,655	6/30/98	BAUER ET AL.			
	5,772,670	6/30/98	BROSA			
	5,807,393	9/15/98	WILLIAMSOM, IV ET AL.			
	5,860,976	1/19/99	BILLINGS ET AL.			
	5,911,719	6/15/99	EGGERS			
	5,925,043	7/20/99	KUMAR ET AL.			
	5,957,923	9/28/99	HAHNEN ET AL.			
	6,059,782	5/9/00	NOVAK ET AL.			
	6,123,701	9/26/00	NEZHAT			
	6,217,602	4/17/01	REDMON			
	6,443,952	9/3/02	MULIER ET AL.			
	6,464,701	10/15/02	HOOVEN ET AL.			
	6,656,177	12/2/03	TRUCKAI ET AL.			
	6,669,696	12/30/03	BACHER ET AL.			
	6,773,434	3/20/03	CIARROCCA			
	6,776,780	8/17/04	MULIER ET AL.			
	6,802,843	10/12/04	TRUCKAI ET AL.			
	6,818,000	11/16/04	MULLER ET AL.			
	6,964,662	11/15/05	KIDOOKA			

Examiner

Date Considered

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.



without references

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Form PTO-1449

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

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## U.S. PATENT DOCUMENTS

Examiner Initial	Publication Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	2003/0216732	11/20/03	TRUCKAI ET AL.			
	2004/0030330	2/12/04	BRASSELL ET AL.			
	2004/0030332	2/12/04	KNOWLTON ET AL.			

## OTHER DOCUMENTS

Int'l Search Report EP 05016399

dated January 5, 2006

Int'l Search Report EP 06005185.1

dated April 18, 2006

Examiner

Date Considered

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.